

8-25-04; 1:29PM; IP LEGAL DEPT

:5132486455

# 1 / 16

## INTERNATIONAL PAPER

LAURIE SHIRK  
PARALEGAL  
INTELLECTUAL PROPERTY DEPARTMENT

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**TO:** U.S. Patent and Trademark Office

**FAX #:** 703-746-4060

**DATE:** 8-25-2004

**NUMBER OF PAGES:** 16 (including this page)

**RE:** U.S.S.N. 10/672430

**Our Ref.:** 022583

Dear Sirs,

It has come to my attention that the Response to Missing Parts submitted on May 24, 2004, by facsimile, was misdirected to the wrong facsimile number. Consequently, the response has not been entered. Please find transmitted herewith the response, along with confirmation of receipt on May 24, 2004, as evidenced by the Auto-Reply Facsimile Transmission. In light of the above, the receipt of this response should be considered as timely.

If you have any questions, please contact me.

Laurie Shirk  
Administrative Paralegal

RECEIVED  
AUG 26 2004  
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AUG 25 2004

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0851-0032  
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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1610)

**Complete if Known**

Application Number	10/672,430
Filing Date	26 September 2003
First Named Inventor	Pollock
Examiner Name	
Art Unit	1764
Attorney Docket No.	022583

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
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International Paper

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	130
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	1480
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1610)

**SUBMITTED BY**

Name (Print/Type) Daniel C. Stelter

Registration No. 40,830  
(Attorney/Agent)

(Complete if applicable)

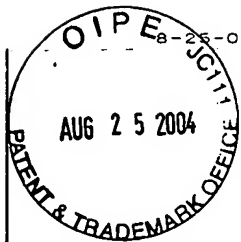
Telephone 513-248-6208

Signature

Date 24 May 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.



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# 2/ 16

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## CONFIDENTIAL FACSIMILE TRANSMISSION

To: U.S. Patent and Trademark Office

From: 703-872-9308

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Date: 24 May 2004

Re: RESPONSE TO NOTICE OF MISSING PARTS

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Your File No.: 1C/672430

No. of Pages: 13 (including 01 & 0101 sheet)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): Pollock, et al.

Serial No.: 10/572,430

Filing Date: 26 September 2003

Title: FATTY ACID ESTERS AND USES  
THEREOF

Our File No.: 022583

Examiner:

Group Art Unit: 1704

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b) - FILING DATE GRANTED

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Received  
Cover  
Page

=====&gt;

<b>INTERNATIONAL PAPER</b> DANIEL C. STELTER INTELLECTUAL PROPERTY COUNSEL INTELLECTUAL PROPERTY CINCINNATI TECHNOLOGY CENTER 8285 TRIUMPH BOULEVARD LOVELAND OH 45140 T 513 248 6208 F 513 248 6455 daniel.stelter@paper.com	
<b>CONFIDENTIAL FACSIMILE TRANSMISSION</b>	
To: U.S. Patent and Trademark Office From: 703-872-9306 Date: 24 May 2004 RE: RESPONSE TO NOTICE OF MISSING PARTS	Our File Ref: 022583 Your File Ref: 10/672430 No. of Pages: 13 (including this cover sheet)
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C., UNITED STATES OF AMERICA	
In re Application of: Applicant: Pollock, et al. Serial No.: 10/672,430 Filing Date: 26 September 2003 Subject: FATTY ACID ESTERS AND USES THEREOF Our File Ref.: 022583 Examiner: Group Art Unit: 1784	
RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. 1.53(h) - FILING DATE GRANTED	
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<small>PAGE 1/13 * ACVD AT 07/05/04 3:11:43 AM Eastern Daylight Time * SVR:USPTO-EFXXRF-2/1 * DNIS:8724308 * CSID:5132486455 * DURATION (mm-ss):06-18</small>	



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To:	U.S. Patent and Trademark Office
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): Pollock, et al.

Serial No.: 10/672,430

Filing Date: 26 September 2003

Entitled: FATTY ACID ESTERS AND USES  
THEREOF

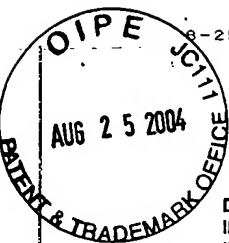
Our File Ref.: 022583

Examiner:

Group Art Unit: 1764

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LOVELAND OH 45140

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24 May 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): Pollock, et al.

Serial No.: 10/672,430

Filing Date: 26 September 2003

Entitled: FATTY ACID ESTERS AND USES  
THEREOF

Examiner:

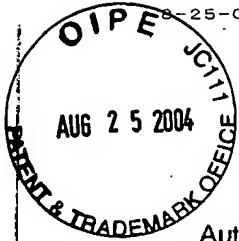
Our File Ref.: 022583

Group Art Unit: 1764

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b) - FILING DATE GRANTED

Now come Applicants, by counsel, and in response to the Notice to File Missing Parts of  
Nonprovisional Application dated 18 December 2003, respectfully submit the following:

1. A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under  
37 CFR 1.53(b) - Filing Date Granted, 2 sheets;
2. A Declaration Under 37 C.F.R. § 1.63 and Appointment of Power of Attorney  
signed by Applicants, 2 sheets;
3. A Request for a Four Month Extension of Time Under 37 C.F.R. § 1.136(a) in  
which to file the within Response, 2 sheets;

**INTERNATIONAL PAPER**

4. Fee Transmittal, Form PTO/SB/17, 1 sheet, having attached thereto an Authorization to Charge Deposit Account No. 09-0525 in the amount of \$1610.00 consisting of: 1) \$130.00 for payment of the surcharge for submitting the above-stated declaration later than the filing date of the present application; and, 2) \$1480.00 for payment of the fee for filing the enclosed Request for a Four Month Extension of Time Under 37 C.F.R. § 1.136(a), 2 sheets;

5. A Revocation of Power of Attorney With New Power of Attorney and Change of Correspondence, Form PTO/SB/82, 1 sheet, signed by an officer of Arizona Chemical Company, assignee of the entire right, title and interest in the present application; and,

6. A Statement Under 37 C.F.R. § 3.73(b), Form PTO/SB/96, 1 sheet, signed by an officer of Arizona Chemical Company, assignee of the entire right, title and interest in the present application, and having attached thereto a true and accurate copy of the an assignment dated 09 October 2002, signed by the inventors.

The undersigned hereby certifies that a true and accurate copy of the within "Response To Notice To File Missing Parts Of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b) - Filing Date Granted", together with all attachments referred-to therein, is being transmitted to the Honorable Commissioner for Patents, via facsimile transmission to (703) 872-9306 on this the 24th day of May, 2004.

Respectfully submitted,

CHARLEY M. POLLOCK, Applicant  
LLOYD A. NELSON, Applicant

Date: 24 May 2004

Daniel C. Stelter, Reg. No. 40,830  
Counsel for Applicants

Arizona Chemical Company  
6285 Tri-Ridge Boulevard  
Loveland, Ohio 45140  
(513) 248-6208 phone  
(513) 248-6455 fax



## UNITED STATES PATENT AND TRADEMARK OFFICE

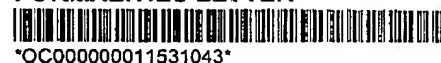
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/672,430	09/26/2003	Charley M. Pollock	022583

Richard C. Stewart, II  
International Paper  
1422 Long Meadow Road  
Tuxedo, NY 10987

CONFIRMATION NO. 8424

## FORMALITIES LETTER



\*OC000000011531043\*

Date Mailed: 12/18/2003



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted,

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

08/26/2004 JBALINAH 00000120 090525 10672430

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